

SUBSTITUTE FOR FORM 1449 (08-00)		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office		Complete if Known	
MAR 18 2002 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number		09/993,037	
		Filing Date		November 6, 2001	
		First Named Inventor		John Merrells	
		Group Art Unit		2161	
		Examiner Name			
Sheet 1 of 1		Attorney Docket Number		13220.013001; P5848	

U.S. PATENT DOCUMENTS					
U.S. Patent Document					
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
N.E.D.	A1	6,157,942	12/05/2000	Chu, et al.	
N.E.D.	A2	5,774,552	06/30/1998	Grimmer	

FOREIGN PATENT DOCUMENTS						
Foreign Patent Document						
Examiner initials*	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner initials*	Cite No.	References	T**
N.E.D.	A3	NETSCAPE COMMUNICATIONS CORPORATION; "Chapter 12 – Managing Replication"; Administrator's Guide – Netscape Directory Server, Version 4.0; 1998; pp. 299-328; Netscape Communications Corporation, Mountain View CA, USA	
N.E.D.	A4	NETSCAPE COMMUNICATIONS CORPORATION; "Chapter 7 – Planning Replication"; Deployment Guide – Netscape Directory Server, Version 4.0; 1998; pp. 93-115; Netscape Communications Corporation, Mountain View CA, USA	
N.E.D.	A5	DOUGLAS B. TERRY, KARIN PETERSEN, MIKE J. SPREITZER, and MARVIN M. THEIMER; "The Case for Non-transparent Replication: Examples from Bayou"; pp. 1-10; Computer Science Laboratory, Xerox Palo Alto Research Center, Palo Alto, CA; USA	
N.E.D.	A6	ED REED, ELLEN STOKES, and RUSSEL WEISER; "LDAP Replication Architecture"; <a href="http://www.stanford.edu/hodges...">www.stanford.edu/hodges...</a> April 17, 1998; pp. 1-11; The Internet Society; California; USA	

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Examiner Signature	Harold E. Doherty, Jr.	Date Considered	6/5/04
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*\* Applicant is to place a check mark here if English language is present or an English Translation or Abstract is attached.



SUBSTITUTE FOR FORM 1449 (8-08)		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	09/993,937
				Filing Date	November 6, 2001
				First Named Inventor	John Merrells
				Group Art Unit	2172
				Examiner Name	Kim Vu
Sheet 1	1	of	1	Attorney Docket Number	13220.013001; P5848

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U.S. Patent Document					
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
W.E.D.		6,049,809	04/11/2000	Raman, et al.	
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W.E.D.		WO	03/009092 A2		BEA SYSTEMS, INC.	01/30/2003	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner initials	Cite No.	References	T**
		Combined Search and Examination Report; July 17, 2003.	

Examiner Signature	<i>Harold E. Scully, Jr.</i>	Date Considered	6/5/04
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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